

Annex 2: Questionnaires

Included in this annex are the three questionnaires used by EGI in Uganda. Similar questionnaires were used by the other NGO partners in their countries. The questionnaires below mainly focus on fossil fuels, similar questionnaires were used to assess the impacts of hydro dams.

QUESTIONNAIRE FOR THE PRELIMINARY MAPPING OF THE WORK AND IMPACTS OF EXPORT CREDIT AGENCIES IN FOUR AFRICAN COUNTRIES

INSTRUMENT 1: IN-DEPTH INTERVIEWS WITH KEY CSOs, CBOs,

CONSENT

My name is _____. I am from the Environment Governance Institute (EGI)¹. EGI is a non-governmental organisation dedicated to promoting the sustainable management of environment and natural resources in Uganda and the Great Lakes Region. Through lobbying, research and community education, EGI works with the government, communities and local leaders to ensure that natural resources are utilized in a way that promotes equitable development, environmental conservation, and respect for human rights.²

*We are conducting research to **understand the impacts of fossil fuels faced by local communities and to understand the practicability for a Just Energy Transition to clean energy in Uganda.***

*I am going to ask you a few questions related to the work that your organization is involved in and this interview will take between 20-30 minutes. We would like to record this session on this audio recorder for reference later. This is because we prefer not to take notes while we are speaking. All the information on the recorder will be used solely for the notes and will be deleted afterwards. **[Confirm that this is alright with the respondent]**.*

If any questions make you feel uncomfortable, you are free to decline to answer any question or to end the interview at any time. All answers you will provide will be confidential and will only be available to the researchers.

[Address any concerns]

[CHECK: Have you secured informed consent?] If Yes, start the interview.

¹ Customize to indicate your organization's name

² Include introductory notes from your organization

A. Introduction of participants

1. Can you please introduce yourself and your day-to-day activities?
2. Which community/ies do you work with?
3. How long have you worked with this/ these community/ies?
4. What is the main economic activity in the community/ies you work with?
5. Has this activity been affected by any projects?

B. Identifying companies working on fossil/ energy related projects in the country

1. Do you know of any projects that are working on fossil fuels and renewable energy in Uganda?
2. When did these projects start/ or are they about to take place?
3. What do you know about the project in regards to;
 - Their operations,
 - Funding,
 - Environmental and human rights compliance etc.,
 - Gender compliance.
4. What is your general feeling about the project?
5. Were you, or the communities you work with, consulted in relation to these projects? And what was your/ their level of involvement in relation to the projects?
6. Which companies are involved in these projects?
7. Do you know which countries these companies come from? If yes, please mention them.
8. Do you know which party/ies could be financing the projects, or how they get their financial support to carry out their activities?
9. Apart from fossil fuels companies, do you know of any companies that are working on clean energy projects?
10. If yes, who are they; what are they investing in; and what countries are they from?

C. Impacts of the fossil fuel projects on communities

1. Have there been any visible impacts caused by fossil companies on:
 - The environment

- Local communities (women, youth, elderly)
 - The local economy (e.g. loss of livelihood, destruction of crops, pollution)
 - Human Rights; e.g. land grabbing, health impacts, increase of violence etc.
2. In what ways have these projects affected the communities (*probe for more information in relation to gender, youth, vulnerable groups etc.*)
 - Are communities/women resisting and organizing against these projects?
 - Do they get support from civil society organizations?
 - Which challenges do communities face when engaging in resistance? (*probe for more information, e.g. state prosecution, repression, intimidation, manipulation by multinationals etc.*)
 3. Have communities been informed and consulted (FPIC)?
 4. How did these consultations take place?
 5. Have communities filed any complaints?
 6. In what way have women been affected by these projects?
 7. Has the government, or any other institution - including companies - taken action to address such impacts? If yes, in what way?
 8. Do you think these measures were effective?
 9. In your opinion, could anything have been done differently to ensure such impacts are minimized?
 10. Has your organization taken effort to sensitize communities on how they can defend themselves/ their rights?

D. Investments in clean energy

1. What is your opinion on the need to leave oil and gas in the ground and invest in clean energy?
2. Do you think that if the government would prioritize clean energy investments, the above challenges faced by the communities would not be happening?

E: Policies for clean energy

1. In your view, what are the major sources of energy available in our country?
2. What is the current quantity of energy that our country needs to meet its energy needs?
3. What is the current quantity of energy generated from fossil fuels, including both imported and/or generated locally?
4. What is the current potential for renewables in the country?
5. Are there any government policies and laws that promote transitioning to clean energy? If yes, what are they?
6. Have these policies been implemented?

Thank the interviewee for their time.

INSTRUMENT 2: IN-DEPTH INTERVIEWS WITH GOVERNMENT OFFICIALS³

CONSENT

My name is _____. I am from the Environment Governance Institute (EGI)⁴. EGI is non-governmental organisation dedicated to promoting the sustainable management of environment and natural resources in Uganda and the Great Lakes Region. Through lobbying, research and community education, EGI works with the government, communities and local leaders to ensure that natural resources are utilized in a way that promotes equitable development, environmental conservation, and respect for human rights.⁵

*We are conducting research to **understand the impacts of fossil fuels faced by local communities and understand the practicability for a Just Energy Transition to clean energy in Uganda.***

*I am going to ask you a few questions related to the work that your organization is involved in and this interview will take between 20-30 minutes. We would like to record this session on this audio recorder for reference later. This is because we prefer not to take notes while we are speaking. All the information on the recorder will be used solely for the notes and will be deleted afterwards, **[Confirm that this is alright with the respondent]**.*

If any questions make you feel uncomfortable, you are free to decline to answer any question or to end the interview at any time. All answers you will provide will be confidential and will only be available to the researchers.

[Address any concerns]

[CHECK: Have you secured informed consent?] If Yes, start the interview.

³ Ensure the Questionnaire is tailored towards respective government department you are interviewing

⁴ Customize to indicate your organization's name

⁵ Include introductory notes from your organization

A: Introduction of participants

6. Can you please introduce yourself and your day-to-day activities?
7. How long have you been in this position?

B. Investments in energy

7. What are the major sources of energy available in our country?
8. What is the current quantity of energy that our country needs to meet its energy needs?
9. What is the current quantity of energy generated from fossil fuels - including both imported and/or generated locally?
10. What is the current potential for renewables in the country?
11. Are there any government policies and laws that promote transitioning to clean energy? If yes, what are they?
12. Have these policies been implemented?
13. What are the motivating factors driving the switch to renewable energy? (*probe for more information on whether it is about increasing national energy access and combating energy poverty; to be in alignment with the Paris Agreement; to ensure the countries' economy is geared up for the global transition to renewable energy etc.*)
14. Are there any companies or financial institutions that are currently willing to fill the energy gap if Uganda is to transition to clean energy?
15. Are there any oil companies' policies and regulations that promote transition to clean energy that we could tap into? If yes, what are they?
16. What are the government's policies for investments in relation to fossil fuels?
17. How much money has the government invested in oil, and gas activities (*costs should be broken down to include upstream, midstream and downstream activities?*)
18. How much does Uganda owe oil companies in recoverable costs?

19. How much money does the government plan to invest in the fossil sector in the coming ten years?
20. What is your understanding of the (potential) positive and negative impacts on local communities/women in relation to the oil and gas projects?
21. How are local people/communities involved in these projects?
22. What measures does the government take to ensure communities are protected from the negative impacts of fossil projects?

B. Number of licensed oil and gas blocks

1. How many fossil fuel (oil, gas) blocks do we currently have in Uganda?
2. How many of these blocks are licensed?
3. How many are planned for licensing by government?
4. When are these planned blocks going to be licensed?
5. Are there government plans to cancel any blocks that are up for licensing? If yes, which ones?

C. Oil and gas companies

1. How many exploration and production fossil companies are operating in Uganda, including foreign companies?
2. Which countries are they from?
3. Do you know how they get their financing?
4. What activities are they undertaking?
5. How much money have these companies invested in Uganda?
6. What are their planned investments over the coming ten years?

7. In their agreements with the Government, are there any planned investments in clean energy technologies? If so, which companies are involved? Which, and what type of projects?

8. What is your opinion in regards to the potential risks when it comes to the fossil investments (in relation to climate change and the global switch to renewable energy and what this will mean economically)?

D: Investments in clean energy by governments and oil companies

1. Has the government made any significant investments in clean energy?
2. If yes, what type of investments been made - e.g. solar, wind etc.?
3. How much money has been invested by the government in clean energy?
4. Does the government plan on expanding investments in clean energy?
5. If yes, what are the planned investments?
6. Have oil companies made any investments in clean energy?
7. If yes, what type of investments?
8. How much money has been invested by oil companies in clean energy?
9. Do oil companies plan on expanding their investments in clean energy?
10. If yes, what are the planned investments?

E: Financing of fossil fuel and renewable energy projects

1. How is the financing done for above projects (fossil fuels and renewable energy), is it through joint venture partnerships, bilateral agreements, etc.?
2. Are you aware of the work and involvement of foreign Export Credit Agencies in relation to fossil support in this country? (*Explain what ECAs are and their role*)

3. If yes, do you know of any ECAs involved in fossil fuel or renewable energy projects in Uganda?
4. As a government representative, are you aware of, or do you see any financial risks in relation to the exploitation of fossil fuel and renewable energy projects?
5. How does the government plan to tackle financial risks?
6. Do you perceive renewable energy projects as risky or not?
7. Are there any government plans to ensure that the people can profit from the transition towards renewables? E.g. in terms of ownership over productions, distribution, generation, taxation, etc. Does the government have any plans to organize ownership of energy production and distribution in a different way?

Thank the interviewee for their time.

INSTRUMENT 3: QUESTIONNAIRE FOR RESEARCH WITH AFFECTED COMMUNITIES

Introduction

My name is _____. [Introduce the team, colleagues, and translators if available]⁶
[Check for language understanding and preferences].

I am from the Environment Governance Institute (EGI)⁷. EGI is non-governmental organisation dedicated to promoting the sustainable management of environment and natural resources in Uganda and the Great Lakes Region. Through lobbying, research and community education, EGI work with the government, communities and local leaders to ensure that natural resources are utilized in a way that promotes equitable development, environmental conservation, and respect for human rights.

We are conducting research to understand the impacts of fossil fuels faced by local communities and understand the practicability for a Just Energy Transition to clean energy in Uganda. You have been asked to participate in this study because you live in..... [refer to the region you are conducting the research]. The information you provide will be helpful for our organization, as well as other organizations, to better understand the needs and aspirations of Ugandans in relation to energy use.

We want this to be an open discussion. There are no right or wrong answers and you are welcome to answer in any way you like. We would like to record the session on this audio recorder, so that we can go back later and review what people said to enable us to capture the notes correctly. The information you provide us with will be kept confidential and will only be available to the researchers. It will be deleted once the research has been concluded.

*You will not find your name published in papers or in promo materials unless you have provided consent for this.*⁸

If any of the questions make you feel uncomfortable, you are free to decline to answer or to end the interview at any time. [Address any concerns]

[CHECK: Have you secured informed consent?] If Yes, start the interview.

A. Introduction of participants

- ⁶ If you don't understand the local language, ensure you get local translators
- ⁷ Customize to indicate your organizations name
- ⁸ Ensure the community understands this and commit to it

1. Can you please introduce yourself and briefly and tell us your daily schedule /what you do for a living?
2. What is the main source of livelihood in this community? (*possible answers; subsistence agriculture, fishing, trade, tourism etc. if not mentioned probe*)
3. Have these sources of livelihood been impacted in anyway, in recent years, due to prolonged droughts, floods, heavy rains etc.
4. If yes, have these impacts mentioned above been more severe or frequent recently compared to the past (approx. 10 years)?

B. Identifying companies working on fossil/ energy related projects in your community

1. Do you know of any projects that are working on fossil fuels (oil, gas) and renewable energy in your community?
2. When did these projects start /are they about to take place?
3. What is your general feeling about these projects?
4. Where you consulted during the planning stages and was the community involved in the implementation of the project?
5. Which state/government institutions or project companies consulted you?
6. What do you know about the project in regards to its operations, environmental and human rights compliance etc.?
7. Do you know any companies that are working on the above projects mentioned earlier?
8. If yes, please mention them and their operations in this community?

C. Impacts of the fossil fuel projects on communities

1. Have there been any impacts caused by fossil companies in this community (*probe for more information on the topics below*) in relation to:
 - The environment
 - Local communities (women, youth, elderly)
 - The local economy (Loss of livelihood, destruction of crops, pollution)

- Human Rights (e.g. land grabbing, health impacts, increase of violence etc.).
2. Can you briefly describe how these projects have affected you and your family?
 3. Did these projects involve land acquisition and or displacement of communities?
 4. How were processes undertaken, do you think communities benefitted from the processes?
 5. Did an environmental and social impact assessment take place? If yes, how was it conducted? Did communities receive adequate compensation?
 6. Were communities informed and consulted during the land acquisition process (FPIC)?
 7. How did these consultations take place?
 8. Have communities filed any complaints in regards to these projects? If yes, where?
 9. Are communities – incl. women, youth and other vulnerable groups - resisting and organizing against these projects?
 10. If yes, what challenges do you face when you resist(ed)? (for instance, state prosecution, repression, intimidation, manipulation by multinationals)?
 11. What kind of resistance actions did you undertake or are you undertaking?
 12. Has the government or any other institution - including companies - taken any action to address negative impacts? If yes, in what way?
 13. Do you think these measures are/were effective?
 14. In your opinion; could anything have been done differently to ensure such impacts are minimized?

D. Interest in transition to clean energy

1. Do you have access to electricity? If so, how do you get electricity?
2. Are there any solar panels or wind mills for electricity generation/ provision in this community? If so, how is this organized? If not, do you know about solar and wind energy?
3. What do you think about solar and wind energy, compared to fossil energy?

4. What fuel do you use to cook?
5. Have you faced any challenges in using the fuels for cooking?

Thank the interviewee for their time.